

## TOKYO AIM - J-Nomad Application Form

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1. Trade name or name of an applicant for J-Nomad status (including company registration number if applicable):

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2. Address of head office or principal place of business

		Postcode:
Tel:	Fax:	Country:

3. Name and position of contact at the applicant:

Name:
Position:
Tel:
E-mail:

4. Reasons for applying for the J-Nomad status (please add an additional sheet, if necessary):

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5. The applicant's profile (including information on the company's principal activities and market position)

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6. A full and detailed description of the applicant's corporate and management structure (including number of staff who will be involved in an executive capacity in J-Nomad activities.) (please add an additional sheet and organisational chart sheet, if necessary):

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7. A summary of the procedures and controls that are currently in place, or will be initiated in advance of approval as a nominated adviser, to ensure that the applicant is able to execute its operations as a J-Nomad in accordance with the Regulations for J-Nomads (please add an additional sheet, if necessary):

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8. A list of at least three applicants for J-Qualified Executive status (please include a separate J-Qualified Executive Application Form for each):

Title	First name	Surname

9. (1) A summary of the applicant's practical experience in the provision of Corporate Finance Advice over the last 2 years (please add an additional sheet, if necessary), including experience in Japanese capital markets:

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- (2) Please include details of the applicant's transactional experience, if appropriate:

Description of Transaction:	Details of work undertaken by applicant:	Date of Transaction:

10. Details (if applicable) of the regulatory status of the applicant (please provide supporting documentation confirming regulatory status if relevant), together with details of any sanctions, warnings, disciplinary actions or similar taken against the applicant over the last 5 years:

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11. Other matters (please describe the details of other matters including any litigation (active, pending or threatened) and expected mergers and acquisitions which may affect the approval of J-Nomad status. Please add an additional sheet if necessary.)

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12. Declaration of the applicant:

The above applicant hereby applies for approval as a J-Nomad in accordance with the Regulations for J-Nomads.

The above applicant declares that all the information contained in this application form and otherwise supplied in relation to this application is complete, true, accurate and not misleading and that it has read the Regulations for J-Nomads and believes that this application conforms with them (except as specifically notified to you with this application).

Signed:		Print name:	
Job title:		Date:	